

Brussels, XXX

[...](2022) XXX draft

COMMISSION REGULATION (EU) .../...

of XXX

amending Annex I to Regulation (EU) No 10/2011 on plastic materials and articles intended to come into contact with food, as regards changes to substance authorisations and addition of new substances

(Text with EEA relevance)

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1 **COMMISSION REGULATION (EU) .../...**

2 **of XXX**

3 **Amending Annex I to Regulation (EU) No 10/2011 on plastic materials and articles**
4 **intended to come into contact with food, as regards changes to substance authorisations**
5 **and addition of new substances**

6 (Text with EEA relevance)

7 THE EUROPEAN COMMISSION,

8 Having regard to the Treaty on the Functioning of the European Union,

9 Having regard to Regulation (EC) No 1935/2004 of the European Parliament and of the
10 Council of 27 October 2004 on materials and articles intended to come into contact with food
11 and repealing Directives 80/590/EEC and 89/109/EEC¹, and in particular Article 5(1), second
12 subparagraph, points (a), (d), (e), (h), and (i), Article 11(3) and Article 12(6) thereof,

13 Whereas:

- 14 (1) Commission Regulation (EU) No 10/2011² lays down specific rules as regards plastic
15 materials and articles intended to come into contact with food. In particular, Annex I
16 to Regulation (EU) No 10/2011 establishes a Union list of authorised substances that
17 may be intentionally used in the manufacture of plastic materials and articles intended
18 to come into contact with food.
- 19 (2) Since the last amendment to Regulation (EU) No 10/2011, the European Food Safety
20 Authority ('the Authority') has published further scientific opinions on new substances
21 that may be used in food contact materials ('FCM') as well as on the use of already
22 authorised substances. In addition, certain ambiguities related to the application of that
23 Regulation were identified. In order to ensure that Regulation (EU) No 10/2011 takes
24 into account scientific and technical progress, in particular the most recent findings of
25 the Authority, and in order to remove any doubt as regards its correct application, that
26 Regulation should be amended.
- 27 (3) The substance 'wood flour and fibers, untreated' (FCM No 96, 'wood') is presently
28 authorised as an additive in plastic food contact materials on the basis of an
29 evaluation³ by the Scientific Committee on Food which concluded that wood flour and
30 fibres are an inert material. However, in its opinion of November 2019 the Authority
31 could not validate the grounds for that conclusion. It stated that wood cannot be
32 considered inert *per se*, due to the many low molecular weight substances it contains.
33 Moreover, the opinion indicates no conditions under which the use of wood in plastics
34 may be considered safe, and notes that due to the chemical differences in the
35 composition of plant materials the safety of migrants from these materials must be
36 evaluated on a case-by-case basis, considering beyond species also origin, processing,

¹ OJ L 338, 13.11.2004, p. 4.

² Commission Regulation (EU) No 10/2011 of 14 January 2011 on plastic materials and articles intended to come into contact with food (OJ L 12, 15.1.2011, p. 1).

³ [EFSA Journal 2019;17\(11\):5902](#)

37 treatment for compatibilisation with the host polymer and assessment of the low
38 molecular weight constituents migrate into food. As the present authorisation of wood
39 does not take into account those aspects and thus cannot sufficiently account for the
40 safe use of that substance in plastic, and the Authority did not provide for other
41 restrictions that would nevertheless ensure the safe use of this substance in plastic, the
42 authorisation should be revoked.

43 (4) Following a request by the Commission, the Authority adopted on 29 April 2020 a
44 scientific opinion⁴ reviewing the 451 substances listed in Annex I to Regulation (EU)
45 No 10/2011, for which no specific migration limit ('SML') is set pursuant to Article
46 5(1)(e) of that Regulation. It considered that 284 of those substances needed to be re-
47 evaluated in order to determine whether a specific migration limit is required and
48 classified them in three priority groups. Three substances were placed into the 'high
49 priority group'. Of these three substances, styrene (FCM No 193) is known to be
50 widely used and is already subject to a re-evaluation, while on the substance lauric
51 acid, vinyl ester (FCM No 436) a user provided the Authority with additional data
52 which showed its re-evaluation would be of a lower priority. However, no user of the
53 third substance, salicylic acid (FCM No 121), contacted either the Commission or the
54 Authority after it was placed in the high priority list and after the Commission services
55 consulted the stakeholders over a potential revocation of its authorisation. The
56 Authority however cannot evaluate the use of a substance without a known user as it is
57 to take account of the intended conditions of use of the material or article in which the
58 substance would be used, and only a user can provide such information. Moreover, if
59 provided, such information would to a large extent determine the scope of any future
60 authorisation which would be likely more limited than the present wide authorisation.
61 Consequently, as no specific use or user of salicylic acid is known, and given the
62 uncertainty over the conditions of use under which the use of this substance would
63 comply with Regulation (EC) No 1935/2004, it is appropriate to revoke the present
64 authorisation of salicylic acid.

65 (5) Based on an opinion of the Authority adopted in 2005⁵, five substances from a group
66 commonly known as 'phthalates', namely FCM No 157 ('DBP'), FCM No 159
67 ('BBP'), FCM No 283 ('DEHP'), FCM No 728 ('DINP') and FCM No 729 ('DIDP'),
68 are authorised as additives for use as plasticisers and technical support agents in
69 plastic FCM, subject to specific restrictions of use and migration limits.

70 (6) Following an opinion in 2017 by the European Chemicals Agency ('ECHA') on
71 restriction proposals for some of these phthalates⁶, the Commission requested the
72 Authority to re-assess the risk to public health from phthalates that are authorised to be
73 used in plastic FCM. The Authority consequently adopted a scientific opinion on 18
74 September 2019⁷, confirming the individual TDIs set out in its 2005 opinion for all
75 five phthalates but only on a temporary basis (t-TDI), because of a number of
76 limitations and uncertainties related to the assessment, which should be addressed in
77 the future.

⁴ [EFSA Journal 2020;18\(6\):6124](https://efsa.europa.eu/efsa-consultation-studies/2020/04/29)

⁵ [EFSA Journal 2005; 3\(9\):747.](https://efsa.europa.eu/efsa-consultation-studies/2005/03/09)

⁶ ECHA Committee for Risk Assessment (RAC) and Committee for Socio-economic Analysis (SEAC) Opinion on an Annex XV dossier proposing restrictions on four phthalates (DEHP, BBP, DBP, DIBP); ECHA/RAC/RES-O-0000001412-86-140/F and ECHA/SEAC/RES-O-0000001412-86-154/F respectively. Available online <https://echa.europa.eu/documents/10162/a265bf86-5fbd-496b-87b4-63ff238de2f7>.

⁷ [EFSA Journal 2019;17\(12\):5838.](https://efsa.europa.eu/efsa-consultation-studies/2019/09/18)

- 78 (7) Based on a common mechanism of action underlying the reprotoxic effects of DBP,
79 BBP and DEHP, the Authority also established a new group t-TDI, taking into account
80 their relative potencies. The Authority further considered it appropriate to include
81 DINP in the group t-TDI as a conservative approach based on its transient effects on
82 foetal testosterone levels, whilst accounting for the higher potency of DINP on the
83 liver. The authority set the group t-TDI for DBP, BBP, DEHP and DINP at 50
84 micrograms per kilogram of bodyweight ($\mu\text{g}/\text{kg bw}$) expressed as DEHP equivalent
85 strength. The Authority did not include DIDP in the group t-TDI and set an individual
86 t-TDI of $150 \mu\text{g}/\text{kg bw}$ based on effects on the liver, consistent with its findings from
87 2005.
- 88 (8) In order to further characterise the risk, the Authority carried out a dietary exposure
89 assessment as part of the same opinion. Whilst it was unable to specifically determine
90 the contribution from plastic FCM, it estimated dietary exposure for all five phthalates,
91 which represent the worst-case estimates of exposure from FCM sources. Based on an
92 aggregated dietary exposure assessment for DBP, BBP, DEHP and DINP, it concluded
93 that dietary exposure contributes up to 14% of the group t-TDI of $50 \mu\text{g}/\text{kg bw}$ for the
94 average consumer and up to 23% of the group t-TDI for high consumers. The
95 estimates for DIDP indicate that dietary exposure is far below the t-TDI of $150 \mu\text{g}/\text{kg}$
96 bw for both average and high consumers.
- 97 (9) Additionally, the Authority considered consumers' exposure to other phthalates,
98 notably 1,2-bis(2-methylpropyl) benzene-1,2-dicarboxylate (diisobutyl phthalate or
99 'DIBP'; FCM No 1085; CAS number 84-69-5). The Authority noted that DIBP
100 substantially adds to the overall exposure and risk to consumers from phthalates, from
101 food and from other sources, and that such exposure together with its potency with
102 regard to reproductive effects should also be taken into account by the risk manager.
103 The Authority further noted that consumers' exposure to phthalates arises from
104 sources other than the diet. Significant contribution to total phthalate exposure comes
105 from their presence in consumer articles and construction materials and subsequent
106 dermal contact with them, as well as from inhalation of air and dust in the indoor
107 environment.
- 108 (10) In order to take into account the group t-TDI for DBP, BBP and DEHP and the
109 Authority's conclusions as regards DIBP, and, in particular, to ensure that exposure to
110 these phthalates from plastic FCM does not exceed the group t-TDI, a new total
111 specific migration limit (SML(T)) should be established. However, for the sake of
112 clarity and simplification, in particular in establishing compliance or when carrying
113 out official controls in cases where one of these phthalates has been used alone,
114 individual SMLs should be maintained for the authorised phthalates in addition to the
115 SML(T)s.
- 116 (11) Although the Authority also included DINP in the group t-TDI, an SML(T) was
117 previously established for DINP together with DIDP because they are mixtures that
118 overlap chemically and could not be distinguished analytically in the case of co-
119 occurrence. Although there have been advances in analytical methods since the
120 establishment of that SML(T), further validation work is still required before DINP
121 and DIDP can be routinely differentiated by competent authorities when undertaking
122 official controls. It is therefore appropriate to maintain a separate SML(T) for the sum
123 of DINP and DIDP and to prohibit the use of DINP together with DBP, BBP and
124 DEHP in order to avoid any potential co-exposure from the same plastic FCM.

- 125 (12) Taking into account that the aggregated exposure from both FCMs and sources other
126 than FCMs is expected to be in the order of the t-TDI, and that accumulation may
127 occur in the food manufacturing chain due to migration from food processing
128 equipment as well as from food packaging, and taking account that there is a
129 significant level of uncertainty regarding the present exposure estimates, it is
130 appropriate to account for the exposure by means of an allocation factor of 20% for
131 DBP, BBP, DEHP and DINP in plastic FCM. Taking into account the need to also
132 maintain the SML(T) for DINP and DIDP, it is appropriate to use that allocation factor
133 for all five phthalates when setting the SML(T) and the individual SMLs.
- 134 (13) The substance diethyl[[3,5-bis(1,1-dimethylethyl)-4-hydroxyphenyl]methyl]
135 phosphonate (FCM No 1007) is presently authorised for use up to 0,2 % (w/w) based
136 on the final polymer weight in the polymerisation process to manufacture
137 poly(ethylene terephthalate) ('PET'). Following an application for the extension of use
138 of this substance, on 26 January 2022, the Authority adopted a favourable scientific
139 opinion⁸ on its use up to 0,1 % w/w based on the final polymer weight in the
140 polymerisation process to manufacture poly(ethylene 2,5-furandicarboxylate) ('PEF').
141 The Authority concluded that, when used in this amount, migration of the substance
142 could not be detected due to its incorporation in the polyester chain. Because of that
143 incorporation, there is also no reason to assume that, when used in PEF at a use level
144 of 0,2 % w/w, migration of the substance would be substantially higher. As the safe
145 use of the substance thus stems from its full incorporation into the polymer, and for the
146 sake of consistency and simplicity, it is appropriate to extend the existing authorisation
147 for the use level of this substance in PET at 0,2 % w/w also to the manufacture of PEF.
- 148 (14) Commission Regulation (EU) 2019/1338⁹ authorised the substance Poly((R)-3-
149 hydroxybutyrate-co-(R)-3-hydroxyhexanoate) ('PHBH', FCM No 1059). However, it
150 appears the specification of the permitted use of that substance requires clarification.
151 On the one hand, since PHBH is a macromolecule obtained from microbial
152 fermentation and Regulation (EU) No 10/2011 requires that it is specified that a
153 macromolecule is obtained from such fermentation, the reference to this production
154 method should be added to the specification of PHBH. In addition, the authorisation
155 allows for a short heating up phase, without specifying what that means. This absence
156 of a maximum temperature could allow for heating at temperatures beyond those
157 foreseen in the opinion of the Authority on which basis the substance was authorised,
158 which indicates that a plastic manufactured with the substance could melt above
159 120°C. Moreover, absence of a maximum temperature implies that it is not clear which
160 testing conditions should be used to verify compliance with Regulation (EU) No
161 10/2011 as regards the specification concerning the 'short heating up phase'. The
162 specification should therefore be clarified by indicating a condition of use as that does
163 not exceed the temperature conditions foreseen in the opinion.
- 164 (15) On 19 September 2019, the Authority adopted a favourable scientific opinion¹⁰ on the
165 use of the substance 1,2,4-tris(2-ethylhexyl) benzene-1,2,4-tricarboxylate ester (FCM
166 No 1078, CAS number 3319-31-1), as an additive (plasticiser) in poly(vinyl chloride)

⁸ doi: 10.2903/j.efsa.2022.7172

⁹ Commission Regulation (EU) 2019/1338 of 8 August 2019 amending Regulation (EU) No 10/2011 on plastic materials and articles intended to come into contact with food (OJ L 209, 9.8.2019, p. 5).

¹⁰ [EFSA Journal 2019; 17\(10\):5864](#); the Authority refers in its opinion to 'trimellitic acid, tris(2-ethylhexyl) ester', whereas this Regulation refers to its IUPAC name '1,2,4-tris(2-ethylhexyl) benzene-1,2,4-tricarboxylate'.

167 ('PVC') FCM. In that opinion, the Authority concluded that overall the use of FCM
168 No 1078 does not raise a safety concern if its migration does not exceed 5 mg/kg food.
169 The Authority however indicated that due to the additional contribution from other
170 sources that may add to the exposure from plastic FCMs, the application of an
171 allocation factor should be considered. In view of the absence of directly measured
172 exposure data for this substance for the overall population from all sources, it is
173 appropriate to apply an allocation factor of 20% until appropriate scientific data is
174 provided. Moreover, in its opinion, the Authority stated that its evaluation does not
175 cover the use of this substance in contact with 'infant foods'. Therefore, it has not
176 been demonstrated that the use of this substance in contact with 'infant foods' would
177 meet the requirements of Article 3 of Regulation (EC) No 1935/2004. Therefore, it is
178 appropriate to add a restriction that prevents the use of this substance in contact with
179 such foods. For the sake of clarity and consistency with similar restrictions, it is
180 appropriate to refer to the definition of 'infant' laid down in Article 2(2)(a) of
181 Regulation (EU) No 609/2013 of the European Parliament and of the Council¹¹.

182 (16) Furthermore, since group restriction 32 in table 2 of Annex I to Regulation (EU) No
183 10/2011 sets out a SML(T)s for plasticisers and that the substance FCM No 1078 is
184 also a plasticiser, it is appropriate to apply this group restriction also to that substance.
185 In addition, to clear any doubt over the nature of this group restriction, it is appropriate
186 to indicate that it concerns plasticisers.

187 (17) Following an application for authorisation of the use of the substance
188 (triethanolamine-perchlorate, sodium salt) dimer (FCM No 1080), as an additive in
189 rigid PVC for repeated use bottles intended for contact with water, the Authority
190 adopted on 29 April 2020 a favourable scientific opinion¹² on that use. The Authority
191 concluded that its use would be safe if in contact with water and acidic aqueous foods,
192 such as fruit juices, as, in both water and acidic aqueous foods, the substance
193 (triethanolamine-perchlorate, sodium salt) dimer fully dissociates into triethanolamine
194 and perchlorate. Those two substances are already included in the Union list of
195 authorised substances, triethanolamine as FCM No 793 with a migration limit of 0,05
196 mg/kg, and perchlorate as FCM No 822 with a migration limit of 0,002 mg/kg. The
197 Authority concluded that those limits should also apply to FCM No 1080 because, if
198 the substance is used in plastic in contact with water and acidic aqueous foods, its
199 safety is fully controlled by the migration limits established for those two substances
200 due to its dissociation. The Authority furthermore confirmed that the migration of
201 FCM No 822 should be expressed as perchlorate¹³. It is therefore appropriate to
202 establish two group restrictions in table 2 of Annex I to Regulation (EU) No 10/2011,
203 encompassing the FCM substance No 1080 together with FCM substance No 793 in
204 one group, and with FCM substance No 822 expressed as perchlorate in the other
205 group. It is therefore appropriate to amend substances FCM No 793 and 822
206 accordingly, and to include the substance (triethanolamine-perchlorate, sodium salt)

¹¹ Regulation (EU) No 609/2013 of the European Parliament and of the Council of 12 June 2013 on food intended for infants and young children, food for special medical purposes, and total diet replacement for weight control and repealing Council Directive 92/52/EEC, Commission Directives 96/8/EC, 1999/24/EC, 2006/125/EC and 2006/141/EC, Directive 2009/39/EC of the European Parliament and of the Council and Commission Regulations (EC) No 41/2009 and (EC) No 953/2009 (OJ L 181, 29.6.2013, p. 35).

¹² [EFSA Journal 2020;18\(5\):6046.](#)

¹³ [Scientific panel on FCM, Enzymes, and processing aids \(CEP\), Minutes of the 19th meeting of the working group on FCM 2018-2021](#), 30 September 2020, point 7(1).

207 dimer (FCM No 1080) as an additive in the Union list of authorised substances, with
208 the restrictions that it should only be used in contact with water and acidic aqueous
209 foods.

210 (18) Following an application for the authorisation of the use of the substance N, N-bis(2-
211 hydroxyethyl)stearylamine partially esterified with saturated C16/C18 fatty acids
212 (FCM No 1081), as an additive, in plastic FCM in contact with dry foods, acidic foods
213 and alcoholic beverages with storage up to six months at ambient temperature, the
214 Authority adopted a partially favourable scientific opinion¹⁴ on that use. As part of its
215 evaluation, the Authority considered the migration data provided by the applicant for
216 testing for storage conditions above six months at room temperature and below. The
217 Authority concluded that N,N-bis(2-hydroxyethyl)stearylamine is not a safety concern
218 for the consumer when used at up to 2% (w/w) in all polymers intended for contact
219 only with dry foods, provided that the migration of the sum of N,N-bis(2-
220 hydroxyethyl)stearylamine and its mono- and di-ester, calculated as N,N-bis(2-
221 hydroxyethyl)stearylamine, does not exceed, the SML(T) for FCM substances No 19
222 and 20, in which according to the Authority the migration of the mono- and di-ester of
223 N,N-bis(2-hydroxyethyl)stearylamine was also to be included. Therefore, it is
224 appropriate to authorise the use of this substance at up to 2% (w/w) for manufacturing
225 plastic FCM intended to be in contact only with dry foods at room temperature, and it
226 should be included in the group restriction laid down for the substances with FCM No
227 19 and 20.

228 (19) However, the Authority also considered that the data provided did not enable the
229 safety assessment of the substance with FCM No 1081 when in contact with acidic
230 foods and alcoholic beverages, and indicated that migration would be high in
231 particular in contact with fatty foods. Therefore, it is appropriate that the foreseeable
232 risk that consumers would use a plastic containing this substance in contact with foods
233 other than dry foods is mitigated. To that purpose, this substance should only be used
234 in applications for use by food business operators to package food. In addition, the
235 Authority noted that migration may increase with a lower degree of esterification and
236 may exceed migration limits in case of a higher thickness of the plastic material in
237 which it is applied, and that also other parameters, such as the polarity of the polymer,
238 could be relevant. Therefore, it is appropriate to indicate in a note on the verification
239 of compliance that there is a risk that migration limits may be exceeded based on the
240 thickness of the material, the polarity of the polymer and the degree of esterification of
241 the substance itself.

242 (20) The Authority adopted a favourable scientific opinion¹⁵ on the use of the substance
243 phosphoric acid, mixed esters with 2-hydroxyethyl methacrylate (FCM No 1082) in
244 polymethylmethacrylate-based composites intended for repeated contact with all food
245 types. The Authority concluded that that substance is not a safety concern for the
246 consumer if used as a co-monomer at up to 0.35% w/w, and provided that its
247 migration does not exceed 0.05 mg/kg food expressed as the sum of the mono-, di- and
248 triesters of phosphoric acid and the mono-, di-, tri- and tetraesters of diphosphoric
249 acid. Although the Authority referred to the use of this substance in 'composites', that
250 term may cover also materials which are not polymers and, therefore, which are not
251 plastic within the meaning of Regulation (EU) No 10/2011. Consequently it is
252 appropriate to authorise the use of this starting substance in the manufacture of

¹⁴ EFSA Journal 2020;18(3):6047.

¹⁵ EFSA Journal 2020;18(5):6120.

- 253 polymethylmethacrylate up to 0.35% w/w and to lay down a migration limit in
254 according to the opinion of the Authority.
- 255 (21) The Authority adopted a favourable scientific opinion¹⁶ on the use of the starting
256 substance benzophenone-3,3',4,4'-tetracarboxylic dianhydride ('BTDA') (FCM No
257 1083). The Authority concluded that the use of the substance BTDA is not a safety
258 concern for the consumer if it is applied at up to 43% as a co-monomer in the
259 production of polyimides for contact with foods at temperatures up to 250°C, provided
260 that the migration of BTDA does not exceed 0.05 mg/kg. Therefore it is appropriate to
261 authorise the use of this starting substance for the use in polyimides at up to 43% w/w
262 polymer, intended for use in contact with foods at temperatures up to 250°C, and
263 subject to a migration limit of 0.05 mg/kg food.
- 264 (22) In order to allow operators to adapt to the changes to certain existing authorisations set
265 out in this Regulation, it is appropriate to provide that plastic materials and articles
266 complying with Regulation (EU) No 10/2011, as applicable before the date of the
267 entry into force of this Regulation, are allowed to be first placed on the market for a
268 transition period of 18 months after the entry into force of this Regulation and remain
269 on the market until the exhaustion of stocks. However, the production of final plastic
270 materials and articles typically involves the supply of several products and substances
271 from intermediate manufacturing stages by other operators. For the sake of consumer
272 safety, the transition to full compliance with this Regulation should be achieved as
273 efficiently as possible, and with minimum delay. Therefore, operators manufacturing
274 intermediate products and substances that do not yet comply with this Regulation,
275 should be required to inform the users of these products already within nine months
276 following the entry into force of this Regulation that these products, as provided,
277 cannot be used to manufacture plastic materials and articles to be placed on the market
278 after the transition period of 18 months ends.
- 279 (23) This Regulation revokes the authorisations for the substances 'wood flour and fibres,
280 untreated' (FCM No 96) and salicylic acid (FCM No 121) because it cannot be
281 established that those authorisations, as they currently stand, are in accordance with
282 Regulation (EU) No 1935/2004 given that information about specific substances or
283 specific uses of those substances would be required to ensure that those authorisations
284 do not go beyond what is safe. However, in order to ensure a smooth transition to
285 potential more limited authorisations in case operators that have been manufacturing
286 or using these substances before the entry into force of this Regulation consider that
287 some specific uses comply with Regulation (EU) No 1935/2004, it is appropriate to
288 allow the placing on the market of plastic materials and articles manufactured with
289 those substances provided that an application for authorisation of those specific uses is
290 submitted within a proportionate period after the entry into force of this Regulation.
291 With regards to untreated wood flour and fibres, since the Authority in its opinion on
292 wood³ considered that wood like materials need to be evaluated on a case-by-case
293 basis, specific to the species, such an application should be specific to a certain wood
294 species.
- 295 (24) The measures provided for in this Regulation are in accordance with the opinion of the
296 Standing Committee on Plants, Animals, Food and Feed,

¹⁶ EFSA Journal 2020;18(7):6183.

297 HAS ADOPTED THIS REGULATION:

298 *Article 1*

299 *Amendments to Annex I to Regulation (EU) No 10/2011*

300 Annex I to Regulation (EU) No 10/2011 is amended in accordance with the Annex to this
301 Regulation.

302 *Article 2*

303 *Transitional measures*

304 1. Plastic materials and articles complying with Regulation (EU) No 10/2011 as
305 applicable before the entry into force of this Regulation, which were first placed on
306 the market before *[enter date 18 months after the date of entry into force of this*
307 *Regulation]* may remain on the market until the exhaustion of stocks.

308 2. In case a product from an intermediate stage of the manufacturing of plastic materials
309 and articles or a substance intended for the manufacturing of such a product, material
310 or article, which complies with Regulation (EU) No 10/2011 as applicable before the
311 entry into force of this Regulation and which is first placed on the market after *[enter*
312 *date 9 months after the date of entry into force of this Regulation]* does not comply
313 with this Regulation, the declaration of compliance available for that substance or
314 product shall indicate that it does not comply with the present rules, and that it can
315 only be used in the manufacture of plastic materials and articles to be placed on the
316 market before *[enter date 18 months after the date of entry into force of this*
317 *Regulation]*.

318 3. Plastic materials and articles manufactured with salicylic acid (FCM 121) or
319 manufactured with untreated wood flour or fibres from a specific wood species may
320 continue to be first placed on the market after *[enter date 18 months after entry into*
321 *force of this Regulation]* provided that the following conditions are fulfilled:

322 (a) an application for the authorisation of that substance or of that untreated wood
323 flour or fibre from a specific wood species has been submitted to the competent
324 authority in accordance with Article 9 of Regulation (EC) No 1935/2004
325 before *[enter date 9 months after entry into force of this Regulation]*;

326 (b) the use of that substance or of that untreated flour or fibre from a specific wood
327 species to manufacture a plastic material and article, and the use thereof, is
328 limited to the intended conditions of use described in the application;

329 (c) the information provided to the Authority in accordance with Article 9(1)(b) of
330 Regulation (EC) No 1935/2004 includes a statement that the application is an
331 application in accordance with this paragraph, and

332 (d) The Authority has considered the application valid.

333 4. Plastic materials and articles manufactured with the substance or the untreated wood
334 flour or fibre subject to an application may then continue to be used until the
335 applicant withdraws its application or until the Commission adopts a decision
336 granting or refusing the authorisation for the use of that substance or wood flour or
337 fibre pursuant to Article 11(1) of Regulation (EC) No 1935/2004.

338

339

Article 3

340 This Regulation shall enter into force on the twentieth day following that of its publication in
341 *the Official Journal of the European Union.*

342 This Regulation shall be binding in its entirety and directly applicable in all Member States.

343 Done at Brussels,

344

For the Commission

345

The President

346

Ursula VON DER LEYEN

DRAFT - this document is only meant for consultation; see disclaimer on the first page - DRAFT

347

ANNEX

348 Annex I to Regulation (EU) No 10/2011 is amended as follows:

349 (1) point 1, Table 1 is amended as follows:

350 (a) entry 96 on wood flour and fibers, untreated, and entry 121 on salicylic acid are
351 deleted;

352 (b) entry 157 on phthalic acid, dibutyl ester is replaced by the following:

“157	74880	000008 4-74-2	phthalic acid, dibutyl ester (‘DBP’)	yes	no	no	0,12	(32) (36)	Only to be used as: (a) plasticiser in repeated use materials and articles contacting non-fatty foods; (b) technical support agent in polyolefins in concentrations up to 0,05 % (w/w) in the final product.	(7)”
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353 (c) entry 159 on phthalic acid, benzyl butyl ester is replaced by the following:

“159	74560	000008 5-68-7	phthalic acid, benzyl butyl ester (‘BBP’)	yes	no	no	6	(32) (36)	Only to be used as: (a) plasticiser in repeated use materials and articles; (b) plasticiser in single-use materials and articles contacting non-fatty foods except for infant formula and follow-on formula (*); (c) technical support agent in concentrations up to 0,1 % (w/w) in the final product.	(7)”
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354 (d) entry 283 on phthalic acid, bis(2-ethylhexyl) ester is replaced by the following:

“283	74640	000011 7-81-7	phthalic acid, bis(2- ethylhexyl) ester (‘DEHP’)	yes	no	no	0,6	(32) (36)	Only to be used as: (a) plasticiser in repeated use materials and articles contacting non-fatty foods; (b) technical support agent in concentrations up to 0,1 % (w/w) in the final product.	(7)”
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355 (e) entry 452 on 2,4-bis(2,4-dimethylphenyl)-6-(2-hydroxy-4-n-octyloxyphenyl)-
356 1,3,5-triazine is replaced by the following:

“452	38885	000272 5-22-6	2,4-bis(2,4-dimethylphenyl)-6-(2-hydroxy-4-n-octyloxyphenyl)-1,3,5-triazine	yes	no	yes	5			”
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357 (f) entry 728 on phthalic acid, diesters with primary, saturated C₈-C₁₀ branched
358 alcohols, more than 60 % C₉ is replaced by the following:

“728	75100	006851 5-48-0 002855 3-12-0	phthalic acid, diesters with primary, saturated C 8- C 10 branched alcohols, more than 60 % C 9 (‘DINP’)	yes	no	no		(26) (32)	Only to be used as: (a) plasticiser in repeated use materials and articles; (b) plasticiser in single-use materials and articles contacting non-fatty foods except for infant formula and follow-on formula (*) (c) technical support agent in concentrations up to 0,1 % (w/w) in the final product. Not to be used in combination with FCM substances 157, 159, 283, or 1085.	(7)”
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359 (g) entry 793 on triethanolamine is replaced by the following:

“793	94000	000010 2-71-6	triethanolamine	yes	no	no		(37) ”		
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360 (h) entry 822 on perchloric acid, salts (perchlorate) is replaced by the following:

“822	71983	14797- 73-0	Perchloric acid, salts (perchlorate)	yes	no	no		(38) ”		
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361 (i) entry 1007 on diethyl[[3,5-bis(1,1-dimethylethyl)-4-
362 hydroxyphenyl]methyl]phosphonate is replaced by the following:

“1007	976- 56-7	diethyl[[3,5-bis(1,1-dimethylethyl)-4-hydroxyphenyl]methyl]phospho	no	yes	no				Only to be used up to 0,2 % (w/w) based on the final polymer weight in the polymerisation process to manufacture poly(ethylene terephthalate) (PET) and	”
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			nate							poly(ethylene 2,5-furandicarboxylate) (PEF)	
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363 (j) entry 1059 on poly((R)-3-hydroxybutyrate-co-(R)-3-hydroxyhexanoate) is
364 replaced by the following:

“1059	147398 -31-0	Poly((R)-3- hydroxybutyrat e-co-(R)-3- hydroxyhexano ate) (‘PHBH’)	no	yes	no		(35)	The substance is a macromolecule obtained from microbial fermentation. Only to be used at temperature conditions not exceeding the conditions defined in point 2.1.4(d) of Annex V. The migration of all oligomers with a molecular weight below 1 000 Da shall not exceed 5,0 mg/kg food.	(23)”
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365 (k) the following entries are inserted at the end of Table 1 in numerical
366 order:
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“1078	3319- 31-1	1,2,4-tris(2- ethylhexyl) benzene-1,2,4- tricarboxylate	yes	no	no	1	(32)	Not to be used in contact with foods intended for infants (*)	
1080	156157 -97-0	(triethanolamin e-perchlorate, sodium salt) dimer	yes	no	no		(37) (38)	Only to be used in contact with foods for which only simulants A and/or B are assigned in table 2 of Annex III	
1081	-	N, N-bis(2- hydroxyethyl)st earylamine partially esterified with saturated C16/C18 fatty acids	yes	no	no		(7)	Only to be used at up to 2% (w/w) in plastic materials and articles intended for the packaging by food business operators of dry foods for which simulant E is assigned in table 2 of Annex III.	(30)
1082	52628- 03-2	Phosphoric acid, mixed esters with 2- hydroxyethyl methacrylate	yes	no	no	0.05		Only to be used at up to 0,35% (w/w) to manufacture polymethylmethacrylate- based composites.	

1083		2421-28-5	Benzophenone-3,3',4,4'-tetracarboxylic dianhydride ('BTDA')	no	yes	no	0.05		Only to be used at up to 43% (w/w) as a co-monomer in the production of polyimides for repeated use contact with acidic or fatty foods at temperatures up to 250°C."
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368 “(*) Infant, infant formula and follow-on formula as defined in Article 2(2) of
369 Regulation (EU) No 609/2013 of the European Parliament and of the Council
370 of 12 June 2013 on food intended for infants and young children, food for
371 special medical purposes, and total diet replacement for weight control and
372 repealing Council Directive 92/52/EEC, Commission Directives 96/8/EC,
373 1999/21/EC, 2006/125/EC and 2006/141/EC, Directive 2009/39/EC of the
374 European Parliament and of the Council and Commission Regulations (EC) No
375 41/2009 and (EC) No 953/2009 (OJ L 181, 29.6.2013, p. 35).”

376 (2) in point 2, table 2 is amended as follows:

377 (a) entry 7 is replaced by the following:

“7	19 20 1081	1,2	expressed as tertiary amine”
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378 (b) entry 26 is replaced by the following:

“26	728 729	1,8	expressed as the sum of the substances”
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379 (c) entry 32 is replaced by the following:

“32	8 72 73 138 140 157 159 207 242 283 532 670 728 729 775 783 797 798 810 815 1078	60	expressed as the sum of the substances (plasticisers) * Diisobutyl phthalate, FCM No 1085, with synonyms 1,2-bis(2-methylpropyl) benzene-1,2-dicarboxylate or ‘DIBP’ and CAS number 84-69-5 is not listed as an authorised substance in Table 1. However, it may co-occur with other phthalates as a consequence of its use as an aid to polymerisation and is included in group restrictions with the assignment FCM No 1085.”
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	1085*		
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380 (d) the following entries are added:

“36	157 159 283 1085*	0,6	sum of phthalic acid, dibutyl ester (DBP), diisobutyl phthalate (DIBP), phthalic acid, benzyl butyl ester (BBP) and phthalic acid, bis(2-ethylhexyl) ester (DEHP) expressed as DEHP equivalents using the following equation: DBP*5 + DIBP*4 + BBP*0,1 + DEHP*1. * See remark on FCM No 1085 in row 32
37	793 1080	0,05	expressed as the sum of triethanolamine and the hydrochloride adduct expressed as triethanolamine
38	822 1080	0,002	expressed as perchlorate – note 4 of table 3 applies”

381 (3) in point 3, table 3, the following entry is added:

“(30)	There is a risk that migration limits may be exceeded based on the thickness of the plastic in which the substance is contained, the polarity of the polymer and the degree of esterification of the substance itself”
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